

FORM PTO-1390
(REV 10-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

4002-2729

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/869755

INTERNATIONAL APPLICATION NO.
PCT/US00/00590

INTERNATIONAL FILING DATE
11 January 2000

PRIORITY DATE CLAIMED
11 January 1999

TITLE OF INVENTION
TRUNCATED OPEN INTERVERTEBRAL SPACERS

APPLICANT(S) FOR DO/EO/US

Lawrence M. BOYD; J. Kenneth BURKUS; John D. DORCHAK; Bradley T. ESTES, Eddie F. RAY, III

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4. ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(3)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19(35 U.S.C. 371(c)(3))
 - a. ☒ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (unsigned)
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 16 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
- ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
 - a. PCT Request
 - b. Notification of Transmittal of the International Search Report
 - c. Response to International Search Report
 - d. FORM PCT/IB/304; 332
 - e. International Publication
 - f. Notification Concerning the Filing of Amendments of the Claims
 - g. Written Opinion
 - h. Response to Written Opinion
 - i. International Preliminary Examination Report
 - j. Addition of Applicants/Inventors
 - k. Return Postcard

Express Mail Label No. EL414479812US
Date of Deposit: 5 July 2001
I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.
Susan B. Geller
Signature of person mailing paper or fee

U.S. APPLICATION NO. (if known) 09/869755		INTERNATIONAL APPLICATION NO. PCT/US00/00590		ATTORNEY'S DOCKET NUMBER 4002-2729	
--	--	---	--	--	--

<p>17. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :</p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1000.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00</p> <p style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</p> <p>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:15%;">CLAIMS</th> <th style="width:20%;">NUMBER FILED</th> <th style="width:20%;">NUMBER EXTRA</th> <th style="width:20%;">RATE</th> <th style="width:25%;"></th> </tr> <tr> <td>Total claims</td> <td>38 - 20 =</td> <td>18</td> <td>X \$18.00</td> <td>\$ 324 --</td> </tr> <tr> <td>Independent claims</td> <td>5 - 3 =</td> <td>2</td> <td>X \$80.00</td> <td>\$ 160 --</td> </tr> <tr> <td colspan="4">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>+ \$270.00</td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL OF ABOVE CALCULATIONS =</td> <td>\$ 1,304 --</td> </tr> </table> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.</p> <p style="text-align: right;">SUBTOTAL =</p> <p>Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</p> <p style="text-align: right;">TOTAL NATIONAL FEE =</p> <p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +</p> <p style="text-align: right;">TOTAL FEES ENCLOSED =</p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:60%;"></td> <td style="width:20%; text-align: right;">Amount to be refunded:</td> <td style="width:20%; text-align: right;">\$</td> </tr> <tr> <td></td> <td style="text-align: right;">charged:</td> <td style="text-align: right;">\$</td> </tr> </table>	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		Total claims	38 - 20 =	18	X \$18.00	\$ 324 --	Independent claims	5 - 3 =	2	X \$80.00	\$ 160 --	MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$270.00	TOTAL OF ABOVE CALCULATIONS =				\$ 1,304 --		Amount to be refunded:	\$		charged:	\$	<p>CALCULATIONS PTO USE ONLY</p>
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE																													
Total claims	38 - 20 =	18	X \$18.00	\$ 324 --																												
Independent claims	5 - 3 =	2	X \$80.00	\$ 160 --																												
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$270.00																												
TOTAL OF ABOVE CALCULATIONS =				\$ 1,304 --																												
	Amount to be refunded:	\$																														
	charged:	\$																														

- a. ☒ A check in the amount of \$ 1,304.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. 23-3030 in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-3030. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Kenneth A. GANDY
WOODARD, EMHARDT, NAUGHTON, MORIARTY & MCNETT
Bank One Center/Tower, Suite 3700
111 Monument Circle
Indianapolis, Indiana 46204 US

Kenneth A. Gandy

SIGNATURE:

Kenneth A. Gandy

NAME

#33,386

REGISTRATION NUMBER

TRUNCATED OPEN INTERVERTEBRAL SPACERS

5 The present application claims the benefit of U.S. Patent Application Serial Number 60/115,388, filed on January 11, 1999, which is hereby incorporated by reference in its entirety.

BACKGROUND OF THE INVENTION

10 The present invention broadly concerns arthrodesis for stabilizing the spine. More specifically, the invention provides open-chambered intervertebral
15 spacers, instruments for implanting the spacers and methods for making and using the spacers.

Intervertebral discs, located between the endplates of adjacent vertebrae, stabilize the spine, distribute forces between vertebrae and cushion vertebral
20 bodies. A normal intervertebral disc includes a semi-gelatinous component, the nucleus pulposus, which is surrounded and confined by an outer, fibrous ring called the annulus fibrosus. In a healthy, undamaged spine, the annulus fibrosus prevents the nucleus pulposus from protruding outside the disc space.

Spinal discs may be displaced or damaged due to trauma, disease or aging. Disruption of the annulus fibrosus allows the nucleus pulposus to
25 protrude into the vertebral canal, a condition commonly referred to as a herniated or ruptured disc. The extruded nucleus pulposus may press on a spinal nerve, which may result in nerve damage, pain, numbness, muscle weakness and paralysis. Intervertebral discs may also deteriorate due to the
30 normal aging process or disease. As a disc dehydrates and hardens, the disc space height will be reduced leading to instability of the spine, decreased mobility and pain.

Sometimes the only relief from the symptoms of these conditions is a discectomy, or surgical removal of a portion or all of an intervertebral disc followed by fusion of the adjacent vertebrae. The removal of the damaged or unhealthy disc will allow the disc space to collapse. Collapse of the disc space can cause instability of the spine, abnormal joint mechanics, premature development of arthritis or nerve damage, in addition to severe pain. Pain relief via discectomy and arthrodesis requires preservation of the disc space and eventual fusion of the affected motion segments.

Bone grafts are often used to fill the intervertebral space to prevent disc space collapse and promote fusion of the adjacent vertebrae across the disc space. In early techniques, bone material was simply disposed between the adjacent vertebrae, typically at the posterior aspect of the vertebra, and the spinal column was stabilized by way of a plate or rod spanning the affected vertebrae. Once fusion occurred, the hardware used to maintain the stability of the segment became superfluous and was a permanent foreign body. Moreover, the surgical procedures necessary to implant a rod or plate to stabilize the level during fusion were frequently lengthy and involved.

It was therefore determined that a more optimal solution to the stabilization of an excised disc space is to fuse the vertebrae between their respective end plates, preferably without the need for anterior or posterior plating. There have been an extensive number of attempts to develop an acceptable intradiscal implant that could be used to replace a damaged disc and maintain the stability of the disc interspace between the adjacent vertebrae, at least until complete arthrodesis is achieved. The implant must provide temporary support and allow bone ingrowth. Success of the discectomy and fusion procedure requires the development of a contiguous growth of bone to create a solid mass because the implant may not withstand the compressive loads on the spine for the life of the patient.

Several metal spacers have been developed to fill the void formed and to promote fusion. Sofamor Danek Group, Inc., (1800 Pyramid Place, Memphis, TN 38132, (800) 933-2635) markets a number of hollow spinal cages. For

example, U.S. Patent No. 5,015,247 to Michelson and U.S. Serial No. 08/411,017 to Zdeblick disclose a threaded spinal cage. The cages are hollow and can be filled with osteogenic material, such as autograft or allograft, prior to insertion into the intervertebral space. Apertures defined in the cage

5 communicate with the hollow interior to provide a path for tissue growth between the vertebral endplates.

Although the metal fusion devices of Sofamor Danek and others are widely and successfully employed for reliable fusions, it is sometimes desirable to use an all-bone product. Bone provides many advantages for use in fusions.

10 It can be incorporated after fusion occurs and therefore will not be a permanent implant. Bone allows excellent postoperative imaging because it does not cause scattering like metallic implants. Stress shielding is avoided because bone grafts have a similar modulus of elasticity as the surrounding bone. Although an all-bone spacer provides these and other benefits, the use of bone presents several

15 challenges. Any spacer which will be placed within the intervertebral disc space must withstand the cyclic loads of the spine. Cortical bone products may have sufficient compressive strength for such use, however, cortical bone will not promote rapid fusion. Cancellous bone is more conducive to fusion but is not biomechanically sound as an intervertebral spacer.

SUMMARY OF THE INVENTION

This invention provides spacers having an open chamber, tools for implanting the spacers and methods for making and using the spacers. The spacers include an elongated body defining a chamber and having a first arm and a second arm. The arms define an opening in communication with the chamber. In one preferred embodiment, each of the arms has an end configured to form a region within which an adjacent spacer can nest, the ends desirably being substantially identical to each other. In a preferred form of the invention, the arms each have an end with a concave surface extending to the outer edge or periphery of the spacer.

Spacers of the invention desirably have bodies composed of bone. In one aspect, the body is a dowel having a substantially C-shaped chamber and comprising an off-center bone plug obtained from the diaphysis of a long bone.

Another aspect of the invention provides interbody fusion implant systems. In one form of the invention, the systems includes two of the inventive spacers described above in nestable combination. In another form of the invention, the system includes one of the inventive spacers described above along with a second spacer nestable therewith. The second spacer may for example have an elongated body and an outer surface, and may define a through-hole that preferably extends perpendicular to the longitudinal axis of the second body.

Tools for implanting spacers are also provided. The tools include spacer engaging means for engaging a spacer and occlusion means for blocking an opening defined in the spacer. In one aspect the engaging means includes a shaft slidably disposed within a housing and having a threaded post for engaging a threaded tool hole in the spacer. In some embodiments, the occlusion means includes a plate extendible from the housing. In one specific embodiment the plate defines a groove which is disposed around a fastener attached to the housing so that the plate is slideable relative to the housing. In

other preferred embodiments, the occlusion member has an interior and exterior surface wherein at least one of the surfaces is curved.

This invention also includes methods for obtaining an open bone dowel and methods for using the spacers of this invention. The methods of making a
5 dowel according to this invention include cutting an off-center plug from the diaphysis of a long bone to obtain a bone dowel having an open chamber. The dowel is machined to include desirable nestable surface features such as those described above, in addition to threads, grooves, instrument holes and the like. In still another aspect, the methods include chamfering the forward end of the
10 dowel.

The invention also concerns methods for using the spacers of this invention to promote fusion bone growth between adjacent vertebrae. In one form of the invention, the method for promoting fusion bone growth includes providing one of the spacers described above, preparing the adjacent vertebrae
15 to receive the elongated body of the spacer by, for example, making a cavity between two vertebrae to be fused and implanting or otherwise placing the elongated body into the intervertebral space. In some embodiments the chamber is packed with osteogenic material before the spacer is implanted. In other aspects of the invention, osteogenic material is packed into and around the
20 chamber through the mouth or channel after implantation.

The combination of the open-chambered spacers of this invention with the tools and methods of this invention provide a versatile spacer and implant systems. The spacers can be packed before or after implantation. This invention facilitates implanting a pair of open spacers close to each other in an
25 intervertebral space.

Accordingly, it is one object of this invention to provide nestable bone fusion spacers and methods for using the spacers in arthrodesis procedures.

Another object of this invention is to provide a dowel for vertebral fusions which has improved properties and versatility over standard dowels known in the
30 art.

These and other objects, advantages and features are accomplished according to the spacers, tools and methods of the following description of the preferred embodiments of the present invention.

FIG. 1 is a perspective view of a spacer 100.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a perspective view of an interbody fusion spacer of the present invention.

5 Figure 2 is an end elevational view of the interbody fusion spacer depicted in Figure 1.

Figure 3 is a perspective view of an implant system including two C-shaped spacers having arcuately truncated arms.

10 Figure 4 is a top elevational view of the implant system depicted in Figure 3.

Figure 5 is an end elevational view of the implant system depicted in Figure 3.

15 Figure 6 is a perspective view of an implant system of the present invention, showing how the spacer of Figure 1 may be alternately positioned adjacent to another of said spacers.

Figure 7 is a top elevational view of the implant system depicted in Figure 6.

Figure 8 is an end elevational view of the implant system depicted in Figure 6.

20 Figure 9 is a perspective view of an implant system including one C-shaped spacer nested with another spacer.

Figure 10 is a top elevational view of the implant system depicted in Figure 9.

25 Figure 11 is an end elevational view of the implant system depicted in Figure 9.

Figure 12 is a top perspective view of one embodiment of an insertion tool of the invention.

Figure 13 is a side perspective view of the insertion tool depicted in Figure 12.

30 Figure 14 is an exploded side perspective view of a tool-spacer assembly according to this invention.

Figure 15 is a side perspective view of the tool-spacer assembly depicted in Figure 14.

DESCRIPTION OF THE PREFERRED EMBODIMENTS

For the purposes of promoting an understanding of the principles of the invention, reference will now be made to the embodiments illustrated in the drawings and specific language will be used to describe the same. It will nevertheless be understood that no limitation of the scope of the invention is thereby intended, such alterations and further modifications in the illustrated device, and such further applications of the principles of the invention as illustrated therein being contemplated as would normally occur to one skilled in the art to which the invention relates.

This invention provides spacers having an open-mouthed chamber. These spacers are advantageous for maximum exposure of vertebral tissue to osteogenic material within the chamber and allow close placement of a pair of spacers within the intervertebral space. The design of these spacers conserves material without compromising biomechanical properties of the spacer. This is particularly advantageous when the material is bone because the invention preserves precious allograft. In fact, larger dowels and other shaped grafts can be obtained from smaller bones than was ever thought possible before the present invention. Likewise, smaller dowels having a pre-formed chamber may be efficiently obtained from larger bones.

As disclosed, one aspect of the invention provides interbody fusion spacers configured to nest with an adjacent spacer. Referring now to Figures 1 and 2, in one embodiment, a generally C-shaped spacer 100 is provided. Spacer 100 includes an elongated body 105 having an outer surface 106 and a maximum outer diameter D_M . Elongated body 105 defines an internal, or interior, cavity 130 useful for receiving and holding graft material for promoting bone ingrowth. Body 105 further defines a first arm 120 and a second opposing arm 121 similar to the spacers described above. Arms 120, 121 define an opening, or mouth, 110 in communication with interior cavity 130. Arm 120 has an end 150 that is configured to form a region 170 within which an adjacent spacer can nest as best seen in Figure 1. Similarly, arm 121 has

an end 151 that is configured to form a region 171 within which an adjacent spacer can nest. End 151 is preferably substantially identical to end 150. In one form of the invention as seen in Figure 1, ends 150 and 151 are arcuately truncated. The generally concave configuration of surfaces of ends 150 and 151 are useful, for example, in allowing spacer 100 to be positioned more closely to an adjacent spacer if desired, thus allowing the surface of the ends of the arms to receive a convex surface of an adjacent spacer, and/or allows a clearance adjacent to the spacers. Such clearance may be useful, for instance, in easing threaded (rotary) insertion of an adjacent spacer in close proximity, particularly when also using an insertion tool such as that described herein. Thus, so designed, spacer 100 is generally C-shaped, having on one side thereof a wall 160 which is continuous along its entire length, whereas on the opposed side the spacer 100 lacks such a continuous wall and rather has arms 120 and 121 extending from wall 160, with ends 150 and 151 of arms 120 and 121 exposed oppositely from said wall 160.

Spacer 100 can have all of the features of other generally C-shaped spacers, for example as disclosed in International Application Serial No. PCT/US98/11159 filed June 3, 1998 entitled OPEN INTERVERTEBRAL SPACERS, published as WO 98/55052 on December 10, 1998, which is hereby incorporated by reference in its entirety. For example, spacer 100 can include a first, tool-engaging end 101 having a tool engagement hole 115 and slot 116 therein as seen in FIGS. 1 and 2. Outer surface of spacer 100 can also define threaded bone-engaging portions or other surface features as described above. Threads 107 are best seen in Figure 4.

In yet another aspect of the invention, interbody fusion implant systems are provided. Referring now to FIGS. 3-5, one form of an implant system 170 includes a first, generally C-shaped spacer 100 as described above, and a second generally C-shaped spacer 100' of similar design. Therefore, features of spacer 100' are numbered correspondingly to those of spacer 100, except with a denoting prime (') symbol. In one mode of practicing the invention, spacers 100 and 100' are obtained by taking a transverse plug from a

diaphysis of a long bone having a medullary canal, as discussed for example in U.S. Patent No. 5,814,084 entitled DIAPHYSIAL CORTICAL DOWEL, which is hereby incorporated herein by reference in its entirety, and/or in the above-cited International Application Serial No. PCT/US98/11159 filed June 3, 1998, and machined to have additional features as described herein. Thus, the medullary canal forms at least a portion of the cavities 130 and 130' of spacers 100 and 100', respectively. Spacers 100 and 100' are also preferably threaded.

The spacers of implant system 170 may be arranged so that spacers 100 and 100' can nest within each other. As shown in FIGS. 3-5, the spacers may be positioned such that the opening to the cavities, or open chambers, are aligned with each other, thus forming a large, single area for optional placement of osteogenic material. In an alternative embodiment as seen in FIGS. 6-8, spacers 100 and 100' may be positioned so that outer surface 106' of spacer 100' nests within region 170 and 171 (as best seen in Figure 1) formed by ends 150 and 151, respectively.

Referring now to FIGS. 9-11, depicted is another implant system 270 of the present invention. System 270 includes a first spacer 100 as described above. Ends 150 and 151 of arms 120 and 121, respectively, of spacer 100 are configured to form a nesting region as described above within which an adjacent spacer can nest to form implant system 270. As seen in the figures, spacer 200, of differing design than spacer 100, having elongated body 204 is nested within spacer 100 to form implant system 270. That is, outer surface 206 of spacer 200 is nested within region 170 and 171 (region 170 and 171 are shown in Figure 1) formed by ends 150 and 151, respectively. In such a nested configuration, there exists a lateral overlap among the structures of the two devices. In this manner, the overall lateral width of the implant system 270 is reduced as compared to one in which two spacers of design of spacer 200 were implanted adjacent to one another. More specifically, it can be seen that implant system 270 has a width W_s that is less than the sum of maximum outer diameter D_M of spacer 100 and maximum outer diameter D_M

of spacer 200. As can also be seen, implant system 270 includes two openings 130 and 230, which can be packed with graft material to promote bone growth through the openings and arthrodesis. A first opening defined by cavity 130 of spacer 100 and external wall of spacer 200, and a second
5 opening is provided by through-hole 230 of spacer 200.

Spacer 200, may be a variety of spacers. For example, spacer 200 may have an outer surface 206, a substantially cylindrical body 22 and a longitudinal axis. The spacer may include a through-hole 230, as discussed above and as depicted in FIGS. 9 and 10. Spacer 200 may also be, for
10 example, a spacer as described in U.S. Patent No. 5,814,084. Thus, spacer 200 can be obtained as a transverse plug from a diaphysis of a long bone having a medullary canal, with the medullary canal forming at least a portion of the through-hole 230 in the spacer 200. As with spacer 100, spacer 200 can include a tool engagement hole 215 and slot 216.

15 The present invention further contemplates insertion devices for facilitating the implantation of spacers, implants or bone graft. The tools include spacer engaging means for engaging a spacer or other item and occlusion means for blocking an opening defined in the spacer. One embodiment of an insertion tool of this invention is depicted in FIGS. 12-15.
20 Such an insertion tool is a modification of insertion tools as described in International Application Serial No. PCT/US98/11159 filed June 3, 1998, incorporated herein by reference, having additional features as described below.

In one embodiment, an insertion tool 300 is provided which includes a
25 housing 305 having a proximal end 306 and an opposite distal end 307 and defining a passageway 310 between the two ends. A shaft 315 which has a first end 316 and an opposite second end 317 is disposed within the passageway 310. The first end 316 of the shaft 315 is adjacent the distal end 307 of the housing 305. The first end 316 defines a spacer engager 319. An
30 occlusion member 320 is attached to the housing 305.

The spacer engager 319 has any configuration which will engage a spacer. In some embodiments the spacer engager 319 includes a post for engaging a hole in the spacer. The post may have any configuration which will provide for mating engagement with a hole in a spacer. For example, the post may include an engager 319 which is threaded to matingly engage a threaded tool hole. Other embodiments and features may include a sharply pointed tip 319 as shown, or a hexagonal shaped tip. In each case, the engager is shaped and sized to mate engagingly with the tool hole of the spacer. In other embodiments, the spacer engaging means is a pair of prongs having opposite facing spacer engaging members for grasping an outer surface of the spacer.

The spacer insertion tool 300 also includes an occlusion member 320 for blocking an opening defined in the spacer when the spacer engager 319 is engaged to the spacer. In a preferred embodiment, the occlusion member 320 is slideably engaged to the housing 305, and is extendible from the distal end 307 of the housing 305 for blocking an opening in the spacer. The occlusion member 320 closes the mouth and channel defined in the spacer 100.

The tool 300 depicted also includes a handle portion 840. The handle portion includes means for slidingly moving the shaft 315 within the housing 305 and for rotating the shaft 315. In the embodiment shown, the means includes a thumbwheel 341. In some embodiments, the handle portion 340 has a Hudson end attachment 342.

Referring now to Figures 14 and 15, shown are expanded views illustrating an inventive spacer 100 associated with the insertion tool 300 to form an assembly of the invention. As illustrated, insertion tool 300 has an occlusion member 320 having an interior surface 324 which is convexly curved to complement the concave surfaces of ends 150 and 151 of arms 120 and 121, respectively, of spacer 100. Correspondingly, recess 308 of insertion tool 300 has a concave surface complementary to convexly curved surface 324 of occlusion member 320. Further, occlusion member 320 is of a

length and design sufficient to span to the distal end of the engaged spacer 100, as depicted in Figure 15. Occlusion member 320 can also have a beveled outer end, or an otherwise smoothed outer end, to facilitate rotary insertion.

5 Referring now to Figure 14, in one embodiment, the occlusion member 820 includes a plate 821 which defines a groove 822. A fastener 830 is engaged to a fastener bore 809 in the housing 805 and the groove 822 is disposed around the fastener 830. In this way, the plate 821 is slideable relative to the housing 805.

10 As shown, the housing 305 is preferably provided with a recess 308 which is defined to accept the occlusion member 320 without increasing the effective diameter of the device 300. The occlusion member is also adapted for the best fit with the spacer. For example, the interior surface 324 of the occlusion member would be curved to complement the concave end faces
15 150 and 151 for engagement. The plate 321 of the occlusion member 320 preferably includes a curved superior surface 325 which approximates and completes the minor diameter of the dowel 100 when the spacer engager 319 is engaged to the tool engaging hole and the occlusion member 320 is blocking the channel of the spacer 100. Preferably, the plate 321 and the
20 arms 120 and 121 of the spacer 100 will be configured such that when the tool 300 is engaged to the spacer 100, the curved superior surface 325 will not increase the effective root diameter RD of the threaded outer surface of the spacer 100. This facilitates rotation and screw insertion of the spacer and occlusion member combination into an intervertebral space.

25 In preferred embodiments, as shown in Figures 14 and 15, the plate 321 defines a recess 326 surrounding a groove 322. A fastener 330 is engaged to a fastener bore 309 in the housing 305 and the groove 322 is disposed around the fastener 330. In this way, the plate 321 is slideable relative to the housing 305. The fastener 330 is preferably provided with a
30 post 334, and a plate engaging means or head portion 335. The fastener 330 preferably includes an internal hex as shown for receiving a fastener driving

tool. The post portion 334 may be threaded for mating engagement with threaded bore 309 in the housing 305. In preferred embodiments, the diameter of the head portion 335 is greater than the diameter of the post 334. The diameter of the post 334 is less than the width of the groove 322. The diameter of the head portion is greater than width of the groove 322 but preferably no greater than the distance between the outer edges of the recess 326. Thus, the head portion 335 of the fastener 330 can rest on the recess 326 while the post portion 334 extends through the groove 322. In this way, plate 321 is slidable relative to the housing 305. This also provides for a low profile device which can be inserted into various cannula for percutaneous procedures.

Although any open-chambered spacers having the inventive features described herein is contemplated, in one embodiment the spacers are obtained as an off-center transverse plug from the diaphysis of a long bone. This results in a dowel having an open-mouthed chamber. Because the long bone naturally includes the medullary canal, a pre-formed chamber is inherently contained within the dowel. When the plug is cut off-center in a certain way, the dowel includes an open-mouthed chamber.

Other surface features can be defined along the length of the spacer. The surface features can provide engaging surfaces to facilitate engagement with the vertebrae and prevent slippage of the spacer as is sometimes seen with a smooth graft. The surface feature may include, for example, a groove or stop rib inscribed along the circumference of the spacer. In some embodiments, also, the upper and lower walls of the spacers of the invention may include flattened portions to stabilize the dowel by neutralizing any rotational torque that may be induced by pressure on the sidewall.

For cervical fusions, dowel spacers of the invention are preferably obtained from the fibula, radius, ulna or humerus. The dimensions of such dowels are typically between about 8-15mm in length or depth and about 10-14mm in diameter. For thoracic and lumbar fusions, the dowel is preferably obtained from the humerus, femur or tibia. The dimensions of such dowels

are typically between about 10-30mm in length and about 14-20mm in diameter.

The chamber may be packed with any suitable osteogenic material. In a preferred embodiment, the osteogenic composition has a length which is greater than the length of the chamber so that the osteogenic composition will contact the endplates of the adjacent vertebrae when the spacer is implanted within the vertebrae. This provides better contact of the composition with the endplates to stimulate bone ingrowth.

Any suitable osteogenic material or composition is contemplated, including autograft, allograft, xenograft, demineralized bone, synthetic and natural bone graft substitutes, such as bioceramics and polymers, and osteoinductive factors. The terms osteogenic material or osteogenic composition used here means virtually any material that promotes bone growth or healing including autograft, allograft, xenograft, bone graft substitutes and natural, synthetic and recombinant proteins, hormones and the like.

Autograft can be harvested from locations such as the iliac crest using drills, gouges, curettes and trephines and other tools and methods which are well known to surgeons in this field. Preferably, autograft is harvested from the iliac crest with a minimally invasive donor surgery. The osteogenic material may also include bone reamed away by the surgeon while preparing the end plates for the spacer.

Advantageously, where autograft is chosen as the osteogenic material, only a very small amount of bone material is needed to pack the chamber.

The autograft itself is not required to provide structural support as this is provided by the spacer. The donor surgery for such a small amount of bone is less invasive and better tolerated by the patient. There is usually little need for muscle dissection in obtaining such small amounts of bone. The present invention therefore eliminates or minimizes many of the disadvantages of employing autograft.

Natural and synthetic graft substitutes which replace the structure or function of bone are also contemplated for the osteogenic composition. Any such graft substitute is contemplated, including for example, demineralized bone matrix, mineral compositions and bioceramics. As is evident from a review of An Introduction to Bioceramics, edited by Larry L. Hench and June Wilson (World Scientific Publishing Co. Ptd. Ltd, 1993, volume 1), there is a vast array of bioceramic materials, including BIOGLASS*, hydroxyapatite and calcium phosphate compositions known in the art which can be used to advantage for this purpose. That disclosure is herein incorporated by reference for this purpose. Preferred calcium compositions include bioactive glasses, tricalcium phosphates and hydroxyapatites. In one embodiment, the graft substitute is a biphasic calcium phosphate ceramic including tricalcium phosphate and hydroxyapatite.

In some embodiments, the osteogenic compositions used in this invention comprise a therapeutically effective amount to stimulate or induce bone growth of a substantially pure bone inductive or growth factor or protein in a pharmaceutically acceptable carrier. The preferred osteoinductive factors are the recombinant human bone morphogenetic proteins (rhBMPs) because they are available in unlimited supply and do not transmit infectious diseases. Most preferably, the bone morphogenetic protein is a rhBMP-2, rhBMP-4 or heterodimers thereof.

Recombinant BMP-2 can be used at a concentration of about 0.4 mg/ml to about 1.5 mg/ml, preferably near 1.5 mg/ml. However, any bone morphogenetic protein is contemplated including bone morphogenetic proteins designated as BMP-1 through BMP-13. BMPs are available from Genetics Institute, Inc., Cambridge, Massachusetts and may also be prepared by one skilled in the art as described in U.S. Patent Nos. 5,187,076 to Wozney et al.; 5,366,875 to Wozney et al.; 4,877,864 to Wang et al.; 5,108,922 to Wang et al.; 5,116,738 to Wang et al.; 5,013,649 to Wang et al.; 5,106,748 to Wozney et al.; and PCT Patent Nos. WO93/00432 to Wozney et al.; WO94/26893 to Celeste et al.; and WO94/26892 to Celeste et al. All

osteoinductive factors are contemplated whether obtained as above or isolated from bone. Methods for isolating bone morphogenetic protein from bone are described in U.S. Patent No. 4,294,753 to Urist and Urist et al., 81 PNAS 371, 1984.

5 The choice of carrier material for the osteogenic composition is based on biocompatibility, biodegradability, mechanical properties and interface properties as well as the structure of the load bearing member. The particular application of the compositions of the invention will define the appropriate formulation. Potential carriers include calcium sulphates, polylactic acids, 10 polyanhydrides, collagen, calcium phosphates, polymeric acrylic esters and demineralized bone. The carrier may be any suitable carrier capable of delivering the proteins. Most preferably, the carrier is capable of being eventually resorbed into the body. One preferred carrier is an absorbable collagen sponge marketed by Integra LifeSciences Corporation under the 15 trade name Helistat* Absorbable Collagen Hemostatic Agent. Another preferred carrier is a biphasic calcium phosphate ceramic. Ceramic blocks are commercially available from Sofamor Danek Group, B. P. 4-62180 Rang-du-Fliers, France and Bioland, 132 Route d'Espangne, 31100 Toulouse, France. The osteoinductive factor is introduced into the carrier in any suitable 20 manner. For example, the carrier may be soaked in a solution containing the factor.

 The present invention also provides methods for making the open spacers of this invention. In one embodiment, a method for making an open chambered bone dowel includes obtaining an off-center plug from the 25 diaphysis of a long bone so that the dowel has an open chamber, and machined to have inventive features as described herein, for example to have concave surfaces on each end configured to nest with an adjacent spacer, as described above. The open chamber is preferably substantially concave or C-shaped and has an axis that is substantially perpendicular to the long axis of 30 the dowel. Appropriate human source bones include the femur, tibia, fibula, humerus, radius and ulna. Long bones from other species are also

contemplated although human source bones are generally preferred for human recipients.

The first step is to identify an acceptable donor based upon appropriate standards for the particular donor and recipient. For example, where the donor is human, some form of consent such as a donor card or written consent from the next of kin is required. Where the recipient is human, the donor must be screened for a wide variety of communicable diseases and pathogens, including human immunodeficiency virus, cytomegalovirus, hepatitis B, hepatitis C and several other pathogens. These tests may be conducted by any of a number of means conventional in the art, including but not limited to ELISA assays, PCR assays, or hemagglutination. Such testing follows the requirements of: (i) American Association of Tissue Banks, Technical Manual for Tissue Banking, Technical Manual - Musculoskeletal Tissues, pages M19-M20; (ii) The Food and Drug Administration, Interim Rule, Federal Register/Vol. 58, No. 238/Tuesday, December 14, 1994/Rules and Regulations/65517, D. Infectious Disease Testing and Donor Screening; (iii) MMWR/Vol. 43/No. RR-8m Guidelines for Preventing Transmission of Human Immunodeficiency Virus Through Transplantation of Human Tissue and organs, pages 4-7; (iv) Florida Administrative Weekly, Vol. 10, No. 34, August 21, 1992, 59A-1.001-01459A-1.005(12)(c), F.A.C., (12)(a)-(h), 59A-1.005 (15), F.A.C., (4)(a)-(8). In addition to a battery of standard biomechanical assays, the donor, or their next of kin, is interviewed to ascertain whether the donor engaged in any of a number of high risk behaviors such as having multiple sexual partners, suffering from hemophilia, engaging in intravenous drug use, etc. Once a donor has been ascertained to be acceptable, the bones useful for obtention of the dowels are recovered and cleaned.

Preferably, the bone plugs are obtained using a diamond or hard metal tipped cutting bit which is water cleaned and cooled. Commercially available bits (e.g. core drills) having a generally circular nature and an internal vacant diameter between about 10mm to about 20 mm are amenable to use for

obtention of these bone plugs. Such core drills are available, for example, from Starlite, Inc. In one embodiment, a pneumatic driven miniature lathe having a spring loaded carriage which travels parallel to the cutter is used. The lathe has a drive system which is a pneumatic motor with a valve controller which allows a desired RPM to be set. The carriage rides on two runners which are 1.0 inch stainless rods and has travel distance of approximately 8.0 inches. One runner has set pin holes on the running rod which will stop the carriage from moving when the set pin is placed into the desired hole. The carriage is moveable from side to side with a knob which has graduations for positioning the graft. A vice on the carriage clamps the graft and holds it in place while the dowel is being cut. The vice has a cut-out area in the jaws to allow clearance for the cutter.

In operation, the carriage is manually pulled back and locked in place with a set pin. The graft is loaded into the vice and is aligned with the cutter. Sterile water is used to cool and remove debris from graft and/or dowel as the dowel is being cut. The water travels down through the center of the cutter to irrigate as well as clean the dowel under pressure. After the dowel is cut, sterile water is used to eject the dowel out of the cutter.

Dowels of any size can be prepared according to this invention. In some embodiments, the dowels range from 5mm to 30mm diameters with lengths of about 8mm to about 36mm being generally acceptable, although other appropriate gradations in length and diameter are available. For cervical dowels, such as anterior cervical fusion or ACF dowels, lengths of 8mm, 9mm, up to about 15mm are generally desirable. Dowels of differing diameter are most conveniently obtained as follows:

	<u>Diameter</u>	<u>Source</u>
	10.6-11mm	fibula
	12mm	radius
	14mm	ulna

14+mm

small humeri

Dowels for thoracic and lumbar fusions, such as anterior thoracic inner body fusion (ATIF) and anterior lumbar inner body fusion (ALIF) dowels, respectively, having a depth of between about 10 - 36 mm, and preferably between about 15-24mm, are generally acceptable, depending on the needs of a particular patient. Dowels of differing diameter for thoracic and lumbar fusions are most conveniently obtained as follows:

	<u>Diameter</u>	<u>Source</u>
10	14-16mm	humerus
	16-18mm	femur
	18-20mm	tibia

- 15 While the foregoing diameters and source bones for such dowels is a useful guide, one of the significant advances provided by this invention is that the open-chambered dowel of this invention provides tremendous flexibility with respect to the source bone used.

- 20 Since the spacers of the preferred embodiment are obtained from off-center transverse plugs across the diaphysis of long bones, each dowel has the feature of having a substantially "C"-shaped chamber through the dowel perpendicular to the length of the dowel formed by the intersection of the natural intramedullary canal of the source bone and the cutter blade as it forms the plug. The canal cavity in the long bone is, in vivo, filled with bone
- 25 marrow. In the standard Cloward Dowel and unicortical dowels known in the art, no such natural cavity exists and the cancellous bone that forms the body of such dowels tends to be too brittle to accept machining of such a cavity. The dowels of this invention, by the nature of their origin, inherently define such a cavity. Naturally, based on this disclosure, those skilled in the art will
- 30 recognize that other bone sources could be used which do not have the intramedullary canal, and if sufficient strength is inherent to the bone, a cavity

or chamber could be machined. In addition, it will be appreciated from the instant disclosure that an existing diaphysial cortical dowel having a through hole, such as that depicted in U.S. Patent No. 5,814,084, could be modified by machining one side of such a dowel until one wall of the dowel is

5 sufficiently abraded to "break-through", thereby transforming the diaphysial cortical dowel into a "C"-shaped dowel, and machined to incorporate the features of this invention. Accordingly, such extensions of this invention should be considered as variants of the invention disclosed herein and therefore come within the scope of the claims appended hereto.

10 The marrow is preferably removed from the intramedullary canal of the diaphysial plugs and the cavity is cleaned, leaving the chamber. The spacer may be provided to the surgeon with the chamber prepacked or empty for the surgeon to pack during surgery. The cavity or chamber can then be packed with an osteogenic material or composition.

15 The plug is then machined, preferably in a class 10 clean room to the dimensions and to have the features desired. The machining is preferably conducted on a lathe such as a jeweler's lathe, or machining tools may be specifically designed and adapted for this purpose. Specific tolerances for the dowels and reproducibility of the product dimensions are important features

20 for the successful use of such dowels in the clinical setting.

In some embodiments, the forward end of the dowel which is to be inserted into a cavity formed between adjacent vertebrae is chamfered. The curvature of the chamfered end facilitates insertion of the dowel into the intervertebral space. Chamfering can be accomplished by appropriate means

25 such as by machining, filing, sanding or other abrasive means. The tolerance for the chamfering is fairly liberal and the desired object is merely to round or slightly point the end of the dowel that is to be inserted into the cavity formed between adjacent vertebrae to be fused.

In some embodiments, the invention includes methods for providing

30 surface features into the walls of the dowels. The methods may include defining a tool or instrument attachment hole in an end of the dowel. The hole

may be drilled and preferably tapped. Preferably, the dowel will be of such dimensions as to fit standard insertion tools, such as those produced by Sofamor Danek Group, Inc. (1800 Pyramid Place, Memphis, TN 38132, (800) 933-2635). In addition, a score mark or driver slot may be inscribed on the instrument attachment end of the dowel so that the surgeon can align the dowel so that the chamber is parallel with the length of the recipient's spinal column. The mark or slot allows the surgeon to orient the dowel properly after the dowel is inserted and the chamber is no longer visible. In the proper orientation, the endplates of the adjacent vertebrae are exposed to osteogenic material in the chamber. In some embodiments, the driver slot is omitted to preserve as much bone stock, and therefore strength, in the end as possible.

Surface features such as grooves and threads may be preferably defined or inscribed on the outer cylindrical surface of the dowel. Machining of such features on dowels known in the art is difficult if not impossible due to the brittle cancellous nature of such dowels. Accordingly, the dowels of this invention have the advantage of having very good biomechanical properties amenable to such machining.

Those skilled in the art will also recognize that any of a number of different means may be employed to produce the threaded or grooved embodiments of the dowel of this invention. However, one preferred embodiment of a thread cutter is described in International Application Serial No. PCT/US98/11159 filed June 3, 1998 entitled OPEN INTERVERTEBRAL SPACERS, herein incorporated by reference. The final machined product may be stored, frozen or freeze-dried and vacuum sealed as known in the art for later use.

The spacers and tools in this invention can be conveniently incorporated into known surgical, preferably minimally invasive, procedures. The spacers of this invention can be inserted using laparoscopic technology as described in Sofamor Danek USA's Laparoscopic Bone Dowel Surgical Technique, 1995, 1800 Pyramid Place, Memphis, Tennessee 38132, 1-800-933-2635, preferably in combination with the insertion tool 300 of this

invention. Spacers of this invention can be conveniently incorporated into Sofamor Danek's laparoscopic bone dowel system that facilitates anterior interbody fusions with an approach that is much less surgically morbid than the standard open anterior retroperitoneal approaches. This system includes
5 templates, trephines, dilators, reamers, ports and other devices required for laparoscopic dowel insertion. Alternatively, a minimally invasive open anterior approach using Sofamor Danek's open anterior bone dowel instrumentation or a posterior surgical approach using Sofamor Danek's posterior approach bone dowel instrumentation are contemplated.

10 The present invention also includes methods for fusing adjacent vertebrae. The spine may be approached from any direction indicated by the circumstances. The vertebrae and the intervertebral space are prepared according to conventional procedures to receive the spacer. A spacer of the appropriate dimensions is selected by the surgeon, based on the size of the
15 cavity created and the needs of the particular patient undergoing the fusion. The spacer is mounted on an instrument, preferably via an instrument attachment hole. In one embodiment, an osteogenic material is placed within the chamber of the spacer and the channel and or mouth of the spacer is then blocked with an occlusion member of the instrument. The spacer is then
20 inserted into the cavity created between the adjacent vertebra to be fused. The spacer is oriented within the intervertebral space so the osteogenic material in the chamber is in communication with the end plates of the vertebra. Once the spacer is properly oriented within the intervertebral space, the occlusion member of the instrument can be withdrawn from the spacer
25 aperture and the spacer engager is disengaged from the spacer.

In some embodiments, osteogenic material is packed into the chamber through the channel after implantation. In still other embodiments, a second spacer is implanted into the intervertebral space.

While the foregoing description discloses specific aspects of this
30 invention, those skilled in the art will recognize that any of a number of variations on the basic theme disclosed herein can be made. It is

contemplated that the spacers of this invention can be formed of any suitable biocompatible material, including metals, ceramics, polymers, composites, alloys and the like. Some embodiments include titanium, stainless steel, and Hedrocel[®]. Thus, for example, differing shapes can be made from the

5 diaphysis of various bones and can be used for orthopaedic purposes other than vertebral fusions. In addition, any of a number of known bone treatments can be applied to the dowel of this invention to alter its properties. For example, the methods disclosed in U.S. Patent Nos. 4,627,853; 5,053,049; 5,306,303 and 5,171,279 can be adapted and applied to the invention

10 disclosed herein. Accordingly the disclosures of those patents are herein incorporated by reference for this purpose.

It should be understood that the example and embodiments described herein are for illustrative purposes only and that various modifications or changes in light thereof will be suggested to persons skilled in the art and are

15 to be included within the spirit and purview of this application and the scope of the appended claims.

CLAIMS

1. An interbody fusion spacer, comprising:
an elongated body defining a chamber, said body having a
5 first arm and a second opposing arm, said arms defining an opening in
communication with said chamber, each of said arms having an end
configured to form a region within which an adjacent spacer can nest.
2. The spacer of claim 1, wherein said body further comprises a
10 tool engaging end defining a tool engaging hole for receiving a driving tool
for implanting the spacer.
3. The spacer of claim 1, wherein said elongated body is
15 comprised of a porous material.
4. The spacer of claim 1, wherein said porous material is bone.
5. The spacer of claim 4, wherein said bone is cortical bone.
- 20 6. The spacer of claim 4, wherein said bone is obtained as a
transverse cut from the diaphysis of a long bone having a medullary canal.
7. The spacer of claim 1, wherein said body has an outer
25 surface that defines threaded bone-engaging portions.
8. The spacer of claim 1, wherein each of said ends has a
concave surface extending to the outer edge of the spacer.
9. The spacer of claim 1, further comprising an osteogenic
30 material disposed within said channel.

10. The spacer of claim 9, wherein said osteogenic material comprises natural bone, demineralized bone, a calcium phosphate material, a bioceramic, bioglass, an osteoinductive factor and mixtures thereof.

5

11. The spacer of claim 9, wherein said osteogenic material comprises a bone morphogenetic protein.

12. The spacer of claim 11, wherein said bone morphogenetic protein comprises a recombinant protein.

10

13. The spacer of claim 12, wherein said recombinant bone morphogenetic protein comprises a human protein.

15

14. The spacer of claim 13, wherein said recombinant human protein comprises BMP-2, BMP-4 or heterodimers thereof.

15. The spacer of claim 1, wherein said ends of each of said arms has a surface configured to receive a convex surface of an adjacent spacer.

20

16. The spacer of claim 1, wherein said ends of each of said arms has a concave surface.

17. The spacer of claim 1, wherein said elongated body has a first maximum outer diameter and each of said arms of said elongated body are configured to form a region for nesting of an adjacent spacer having a second maximum outer diameter to form a spacer assembly having a width less than the sum of the combined maximum outer diameters.

25
30

18. The spacer of claim 8, which is formed of bone.

19. The spacer of claim 1, wherein said elongated body has a longitudinal axis and said chamber extends perpendicular to said longitudinal axis.

5

20. The spacer of claim 1, wherein each of said first arm and said second arm has an end having a concave surface.

10

21. An interbody fusion spacer, comprising:
an elongated body of bone having a longitudinal axis and defining a chamber extending perpendicular to said longitudinal axis of said body, said body of bone obtained as a transverse cut from the diaphysis of a long bone having a medullary canal, said body having a first arm and a second opposing arm, said arms defining an opening in communication with said chamber, each of said arms having an end with a concave surface that forms a region within which an adjacent spacer can nest.

15

22. The spacer of claim 21, wherein said body further comprises a tool engaging end defining a tool engaging hole for receiving a driving tool for implanting the spacer.

20

23. An interbody fusion implant system, comprising:
a first interbody fusion spacer having a first elongated body of bone defining a first chamber, said body having a first arm and a second opposing arm, said first and said second arms defining a first opening in communication with said first chamber, each of said arms having an end configured to form a region within which an adjacent spacer can nest; and
a second interbody fusion spacer having a second elongated body of bone and an outer surface, said second interbody fusion spacer nestable within said first interbody fusion spacer.

25

30

24. The system of claim 23, wherein said second elongated body defines a through-hole.

25. The system of claim 24, wherein said second elongated body
5 has a longitudinal axis and said through-hole extends perpendicular to said longitudinal axis.

26. The system of claim 23, wherein said second elongated body defines a second chamber, said second body having a third arm and a
10 fourth opposing arm, said third and fourth arms defining a second opening in communication with said second chamber, said second interbody fusion spacer nestable within said first interbody fusion spacer.

28. The system of claim 26, said system further comprising an
15 osteogenic material disposed in at least one of said chambers of said spacers.

29. A method of promoting fusion bone growth in the space between adjacent vertebrae, comprising:

20 (a) providing a first elongated body defining a first chamber, said body having a first arm and a second opposing arm, said first and second arms defining a first opening in communication with said first chamber, each of said first and second arms having an end configured to form a region within which an adjacent spacer can nest;

25 (b) preparing said adjacent vertebrae to receive the first elongated body in an intervertebral space between adjacent vertebrae; and

(c) placing the first elongated body into the intervertebral space.

30. The method of claim 29, further comprising packing osteogenic material into said first chamber of said first spacer prior to the placing step.

5 31. The method of claim 29, further comprising implanting a second spacer into the intervertebral space in a nested configuration within ends of said first and second arms of said first spacer.

10 32. The method of claim 29, further comprising implanting a second one of said spacers into the intervertebral space after the placing step and orienting the first and second spacers so that the channels of the spacers face each other.

15 33. The method of claim 31, wherein said second interbody fusion spacer is formed of bone.

34. The system of claim 33, wherein said second interbody fusion spacer defines a through-hole.

20 35. The system of claim 34, wherein said through-hole extends perpendicular to said longitudinal axis of said second elongated body.

36. A spacer insertion tool, comprising:
a housing having a proximal end and an opposite distal end
25 and defining a passageway between said proximal end and said distal end;
a shaft having a first end and an opposite second end, said shaft disposed within said passageway with said first end adjacent said distal end, said first end defining a spacer engager; and
an occlusion member extendible from said distal end of said
30 housing for blocking an opening defined in the spacer when said spacer engager is engaged to the spacer, said occlusion member having a plate

with an interior and exterior surface, at least one of said surfaces of said plate being curved.

37. The tool of claim 36, further comprising a fastener attached to
5 said shaft and wherein said plate defines a groove, said groove disposed
around said fastener so that said plate is slidable relative to said housing.

38. The tool of claim 37, wherein said plate has a curved superior
surface which approximates the outer surface of the spacer when said
10 spacer engaging means is engaged to the spacer and said occlusion
member is blocking the opening of the spacer.

PCT/US00/00590

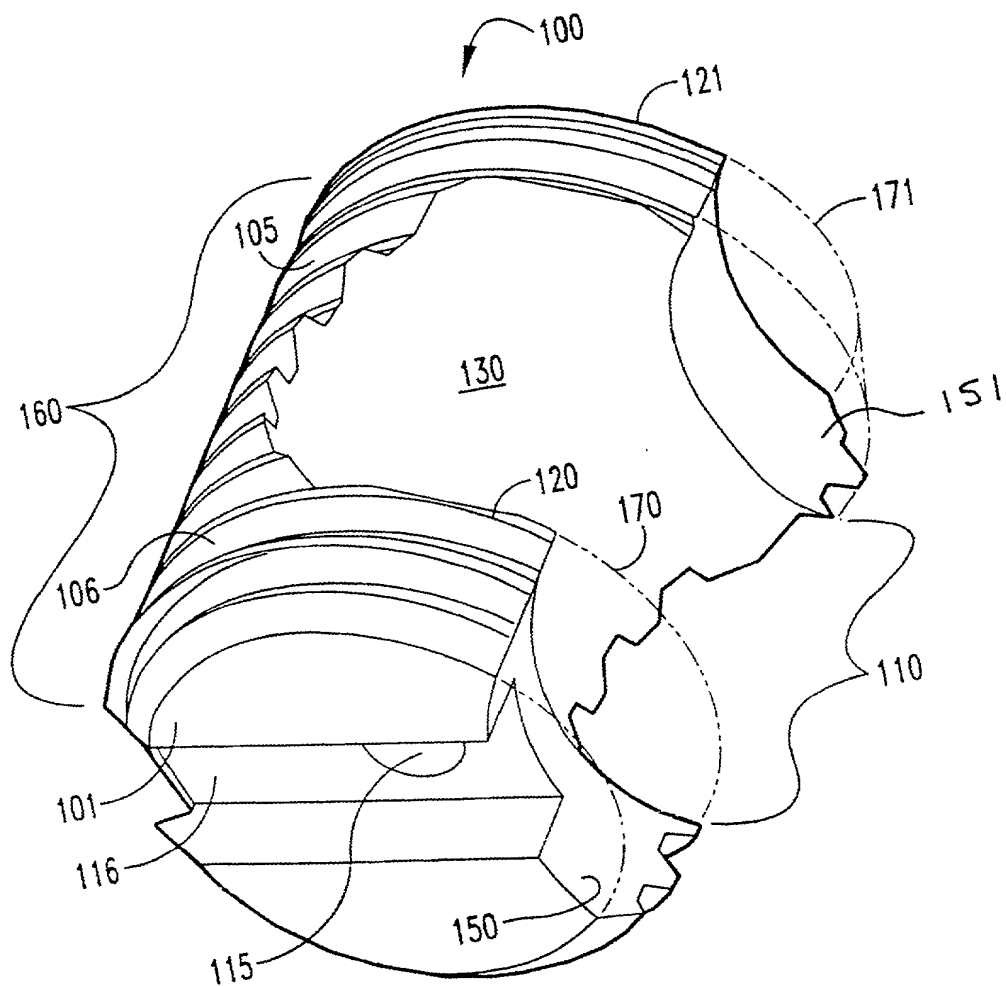


Fig. 1

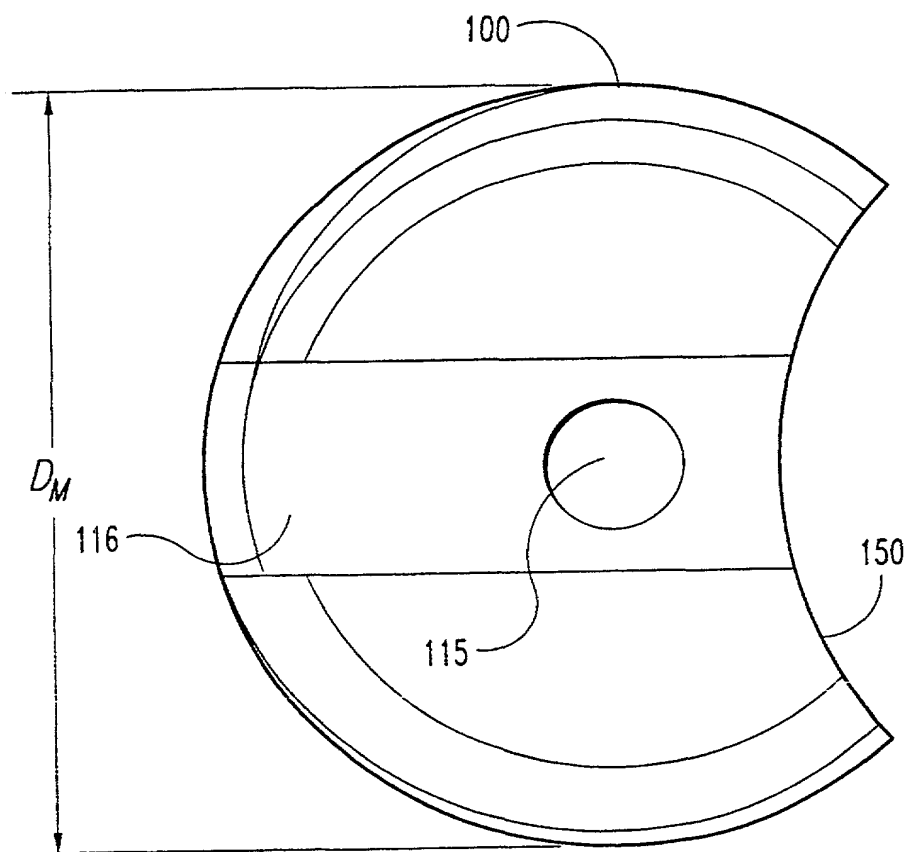
**Fig. 2**

FIG. 3

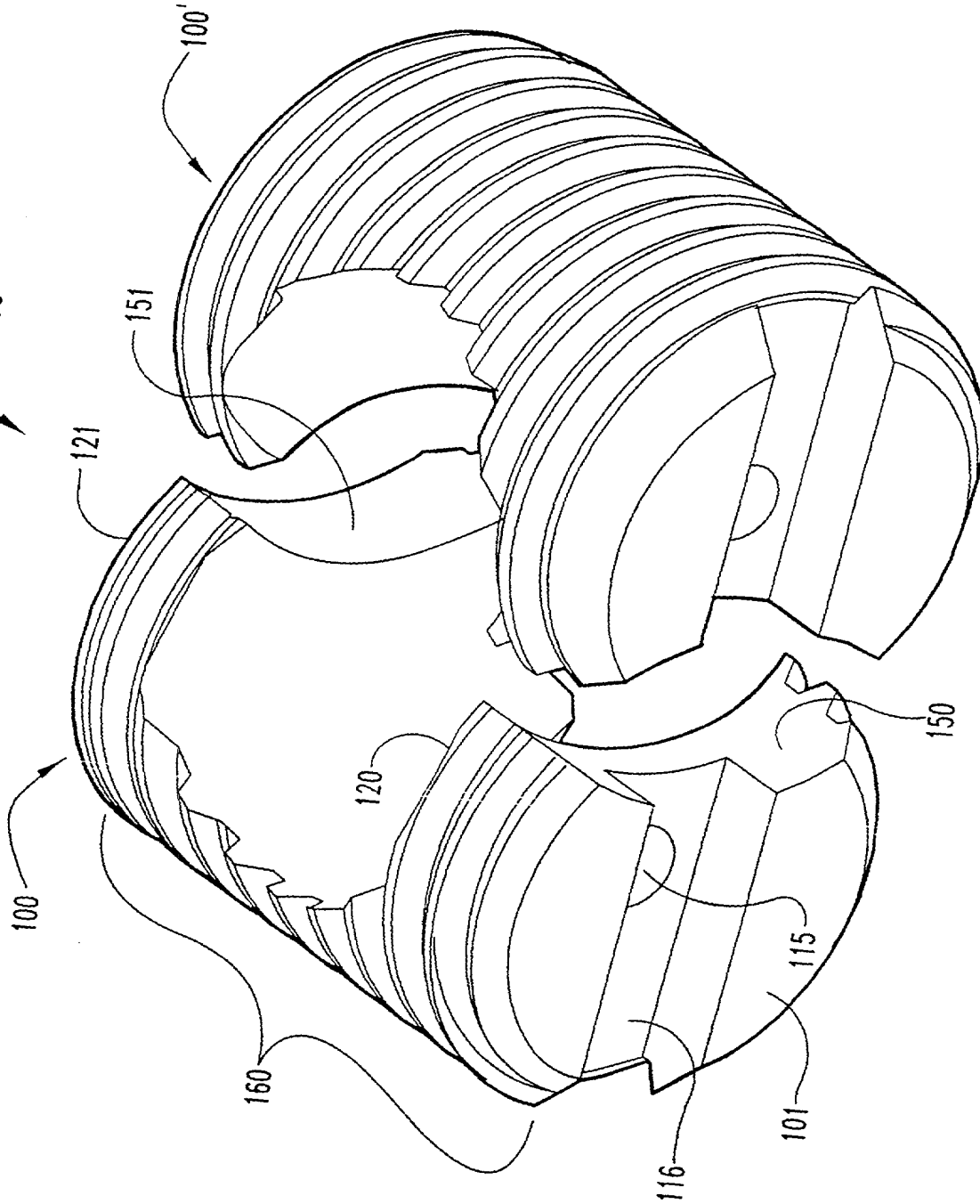


Fig. 3

FIG. 4 is a perspective view of the device.

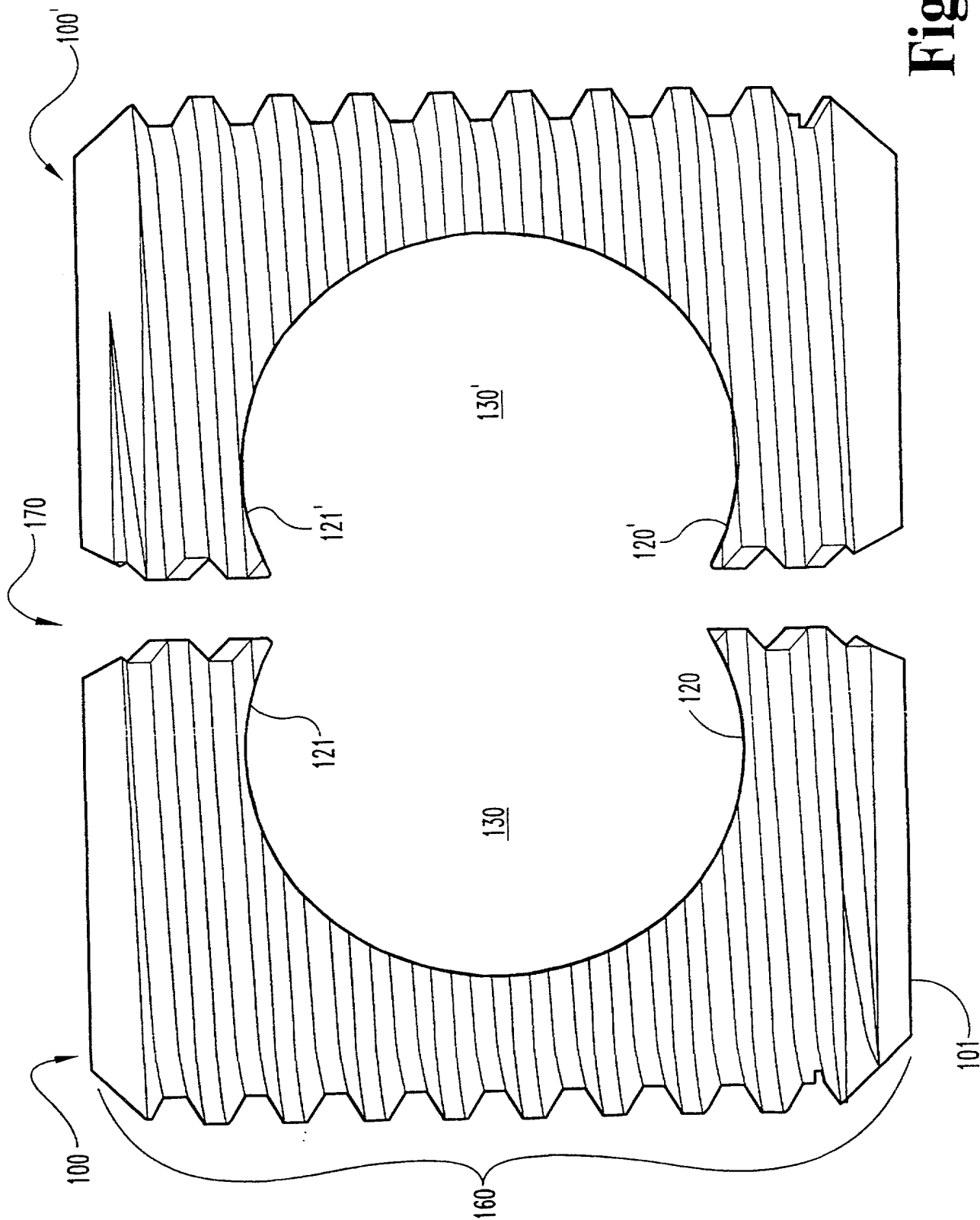


Fig. 4

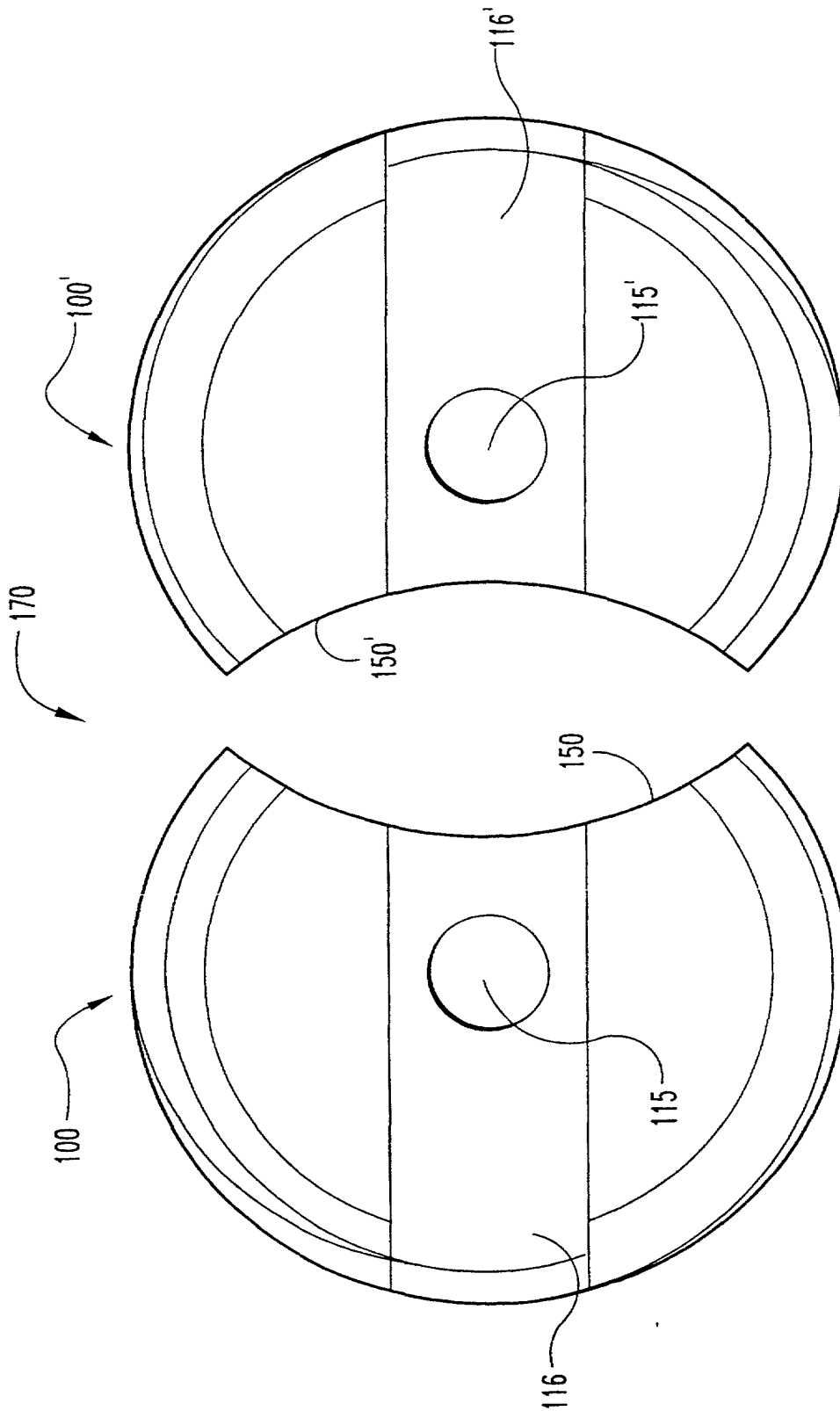


Fig. 5

6/14

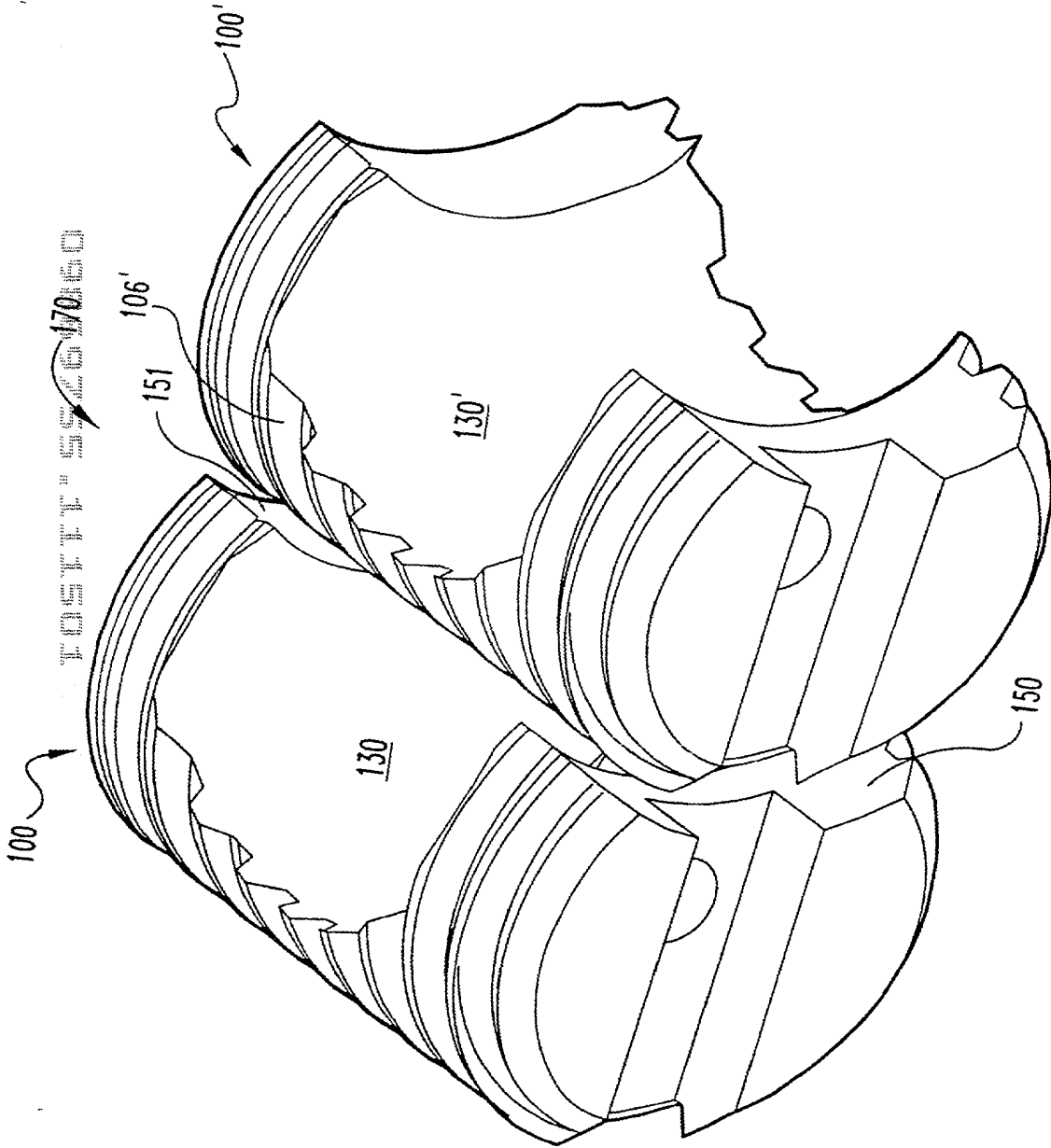
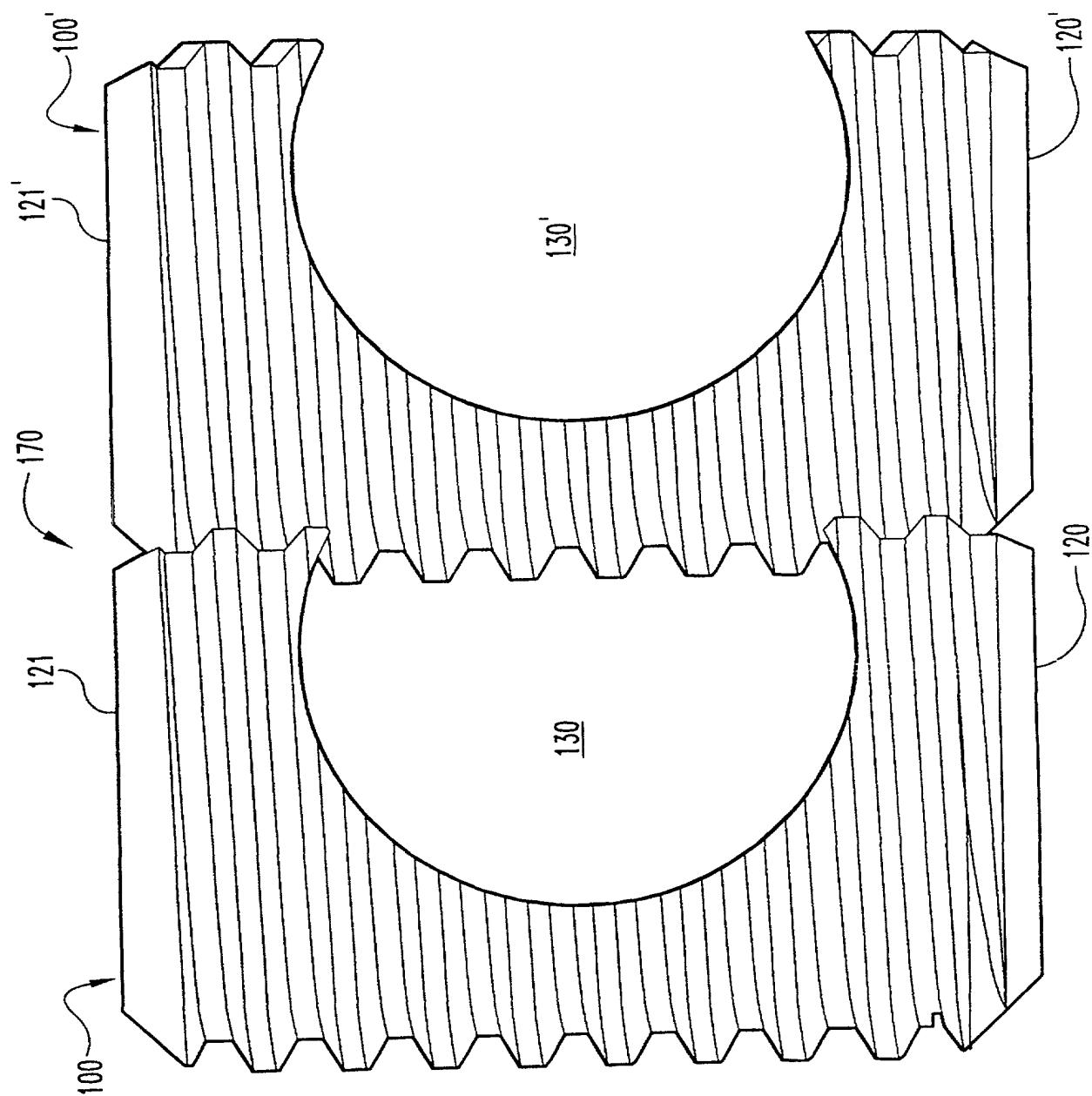


Fig. 6

Fig. 7



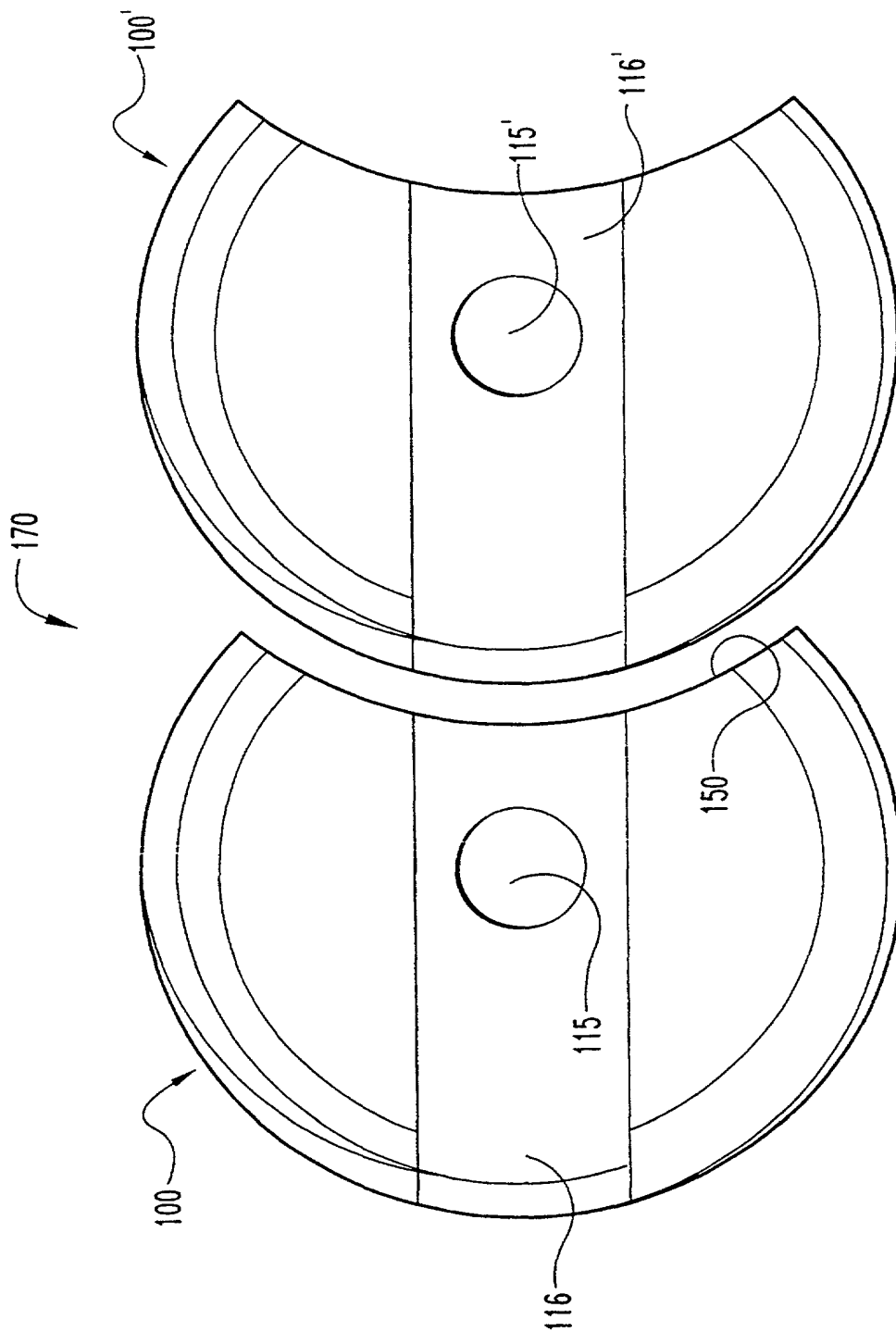


Fig. 8

FIG. 9

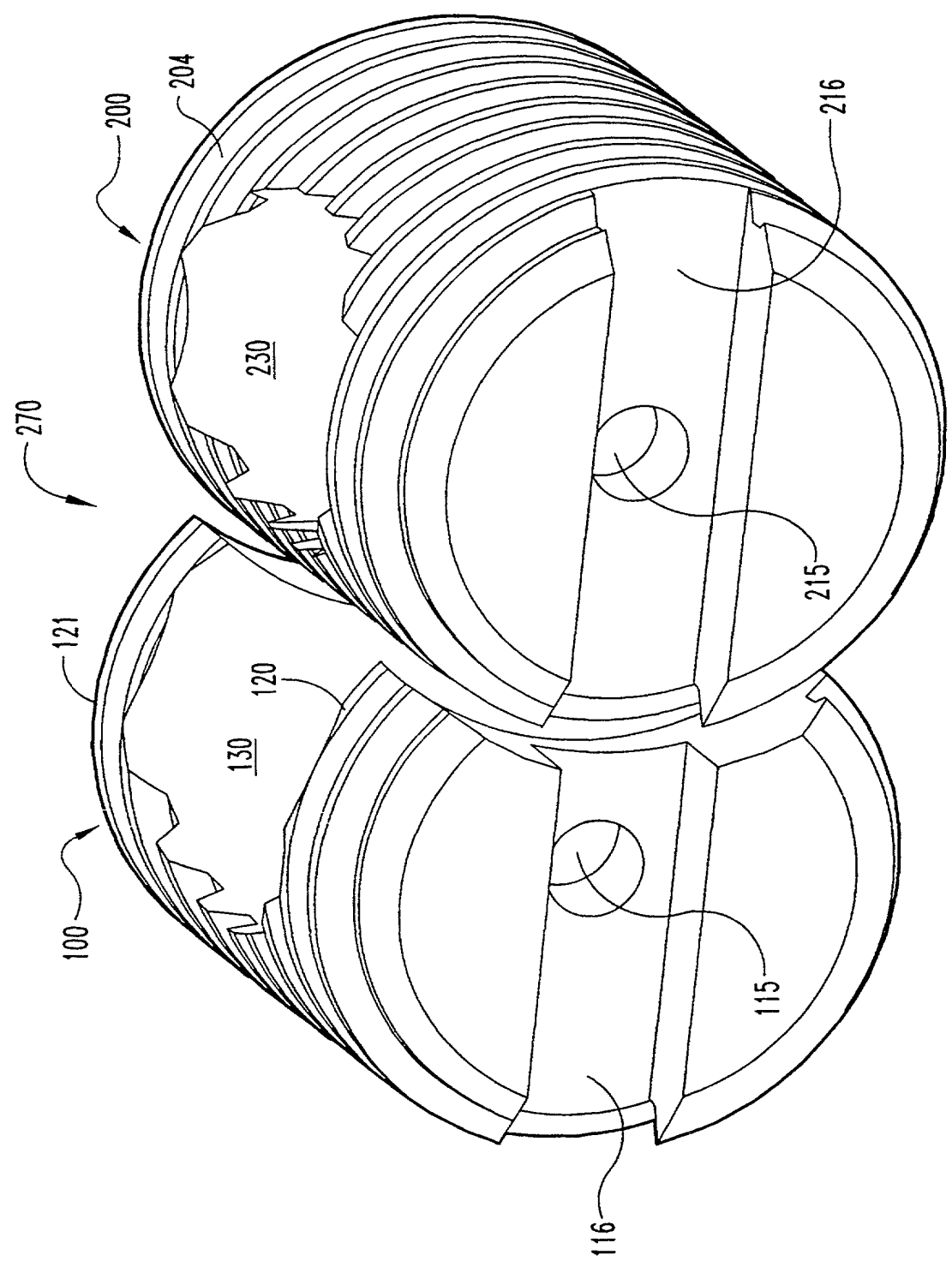
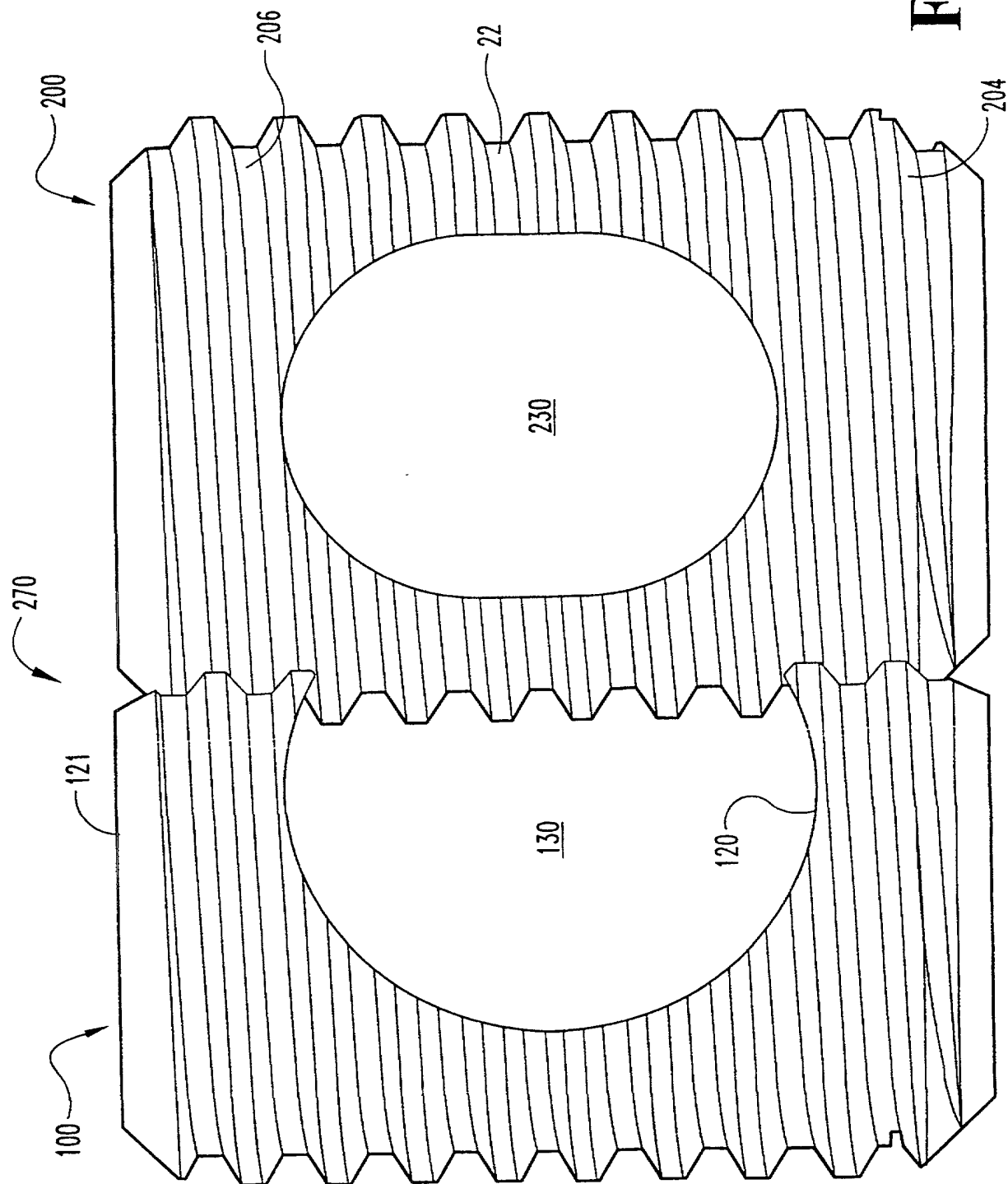


Fig. 9

Fig. 10



11/14

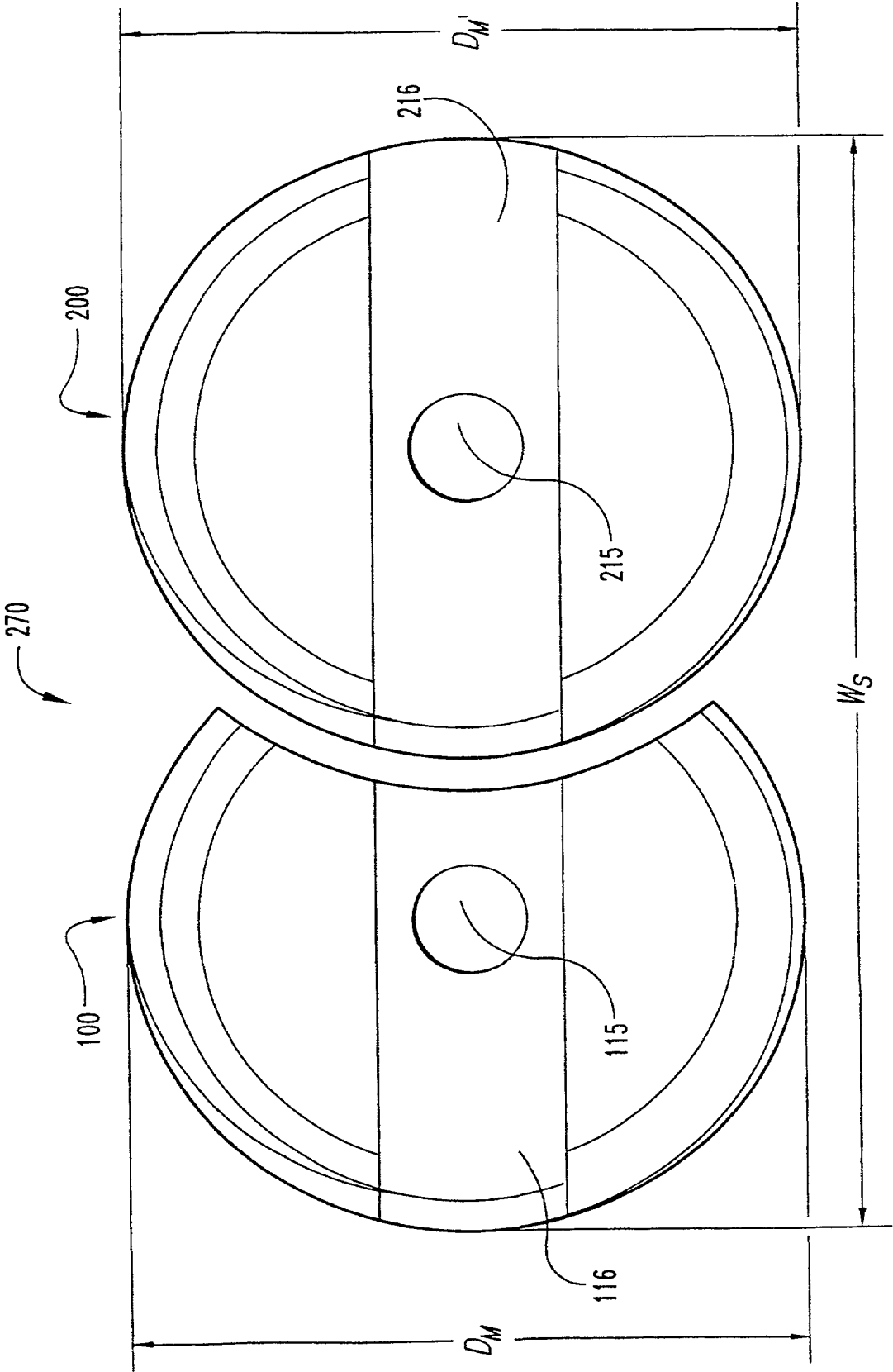
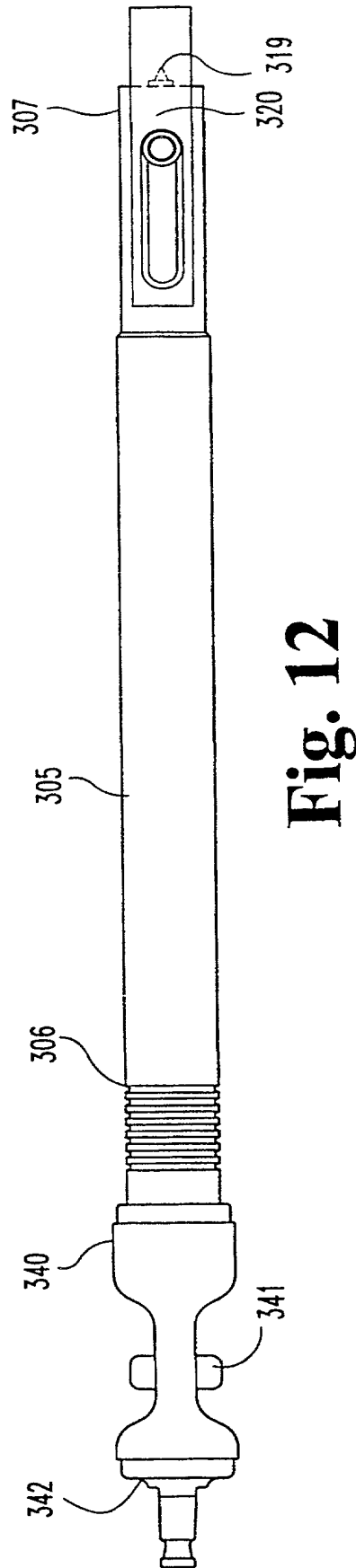
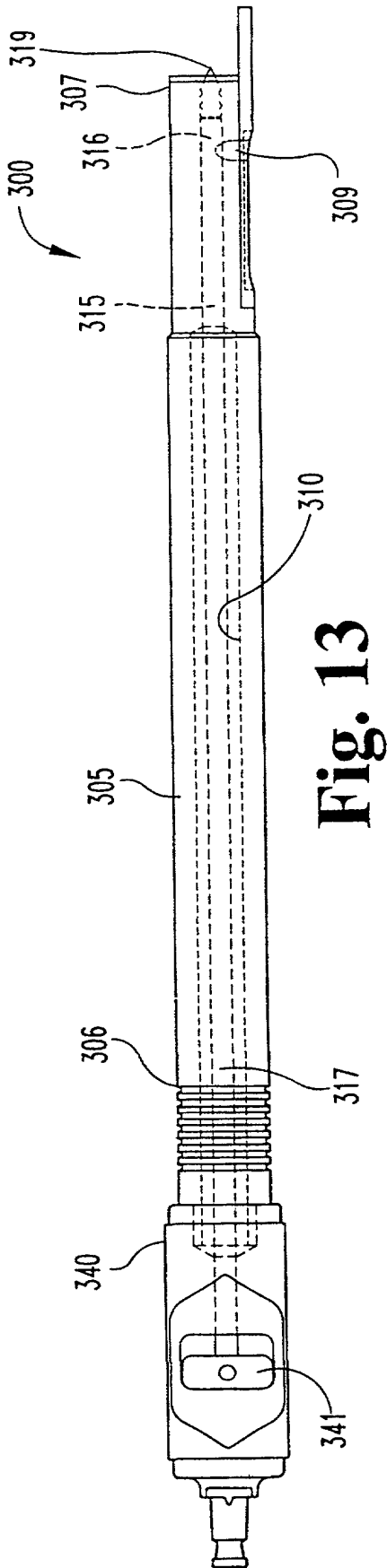


Fig. 11



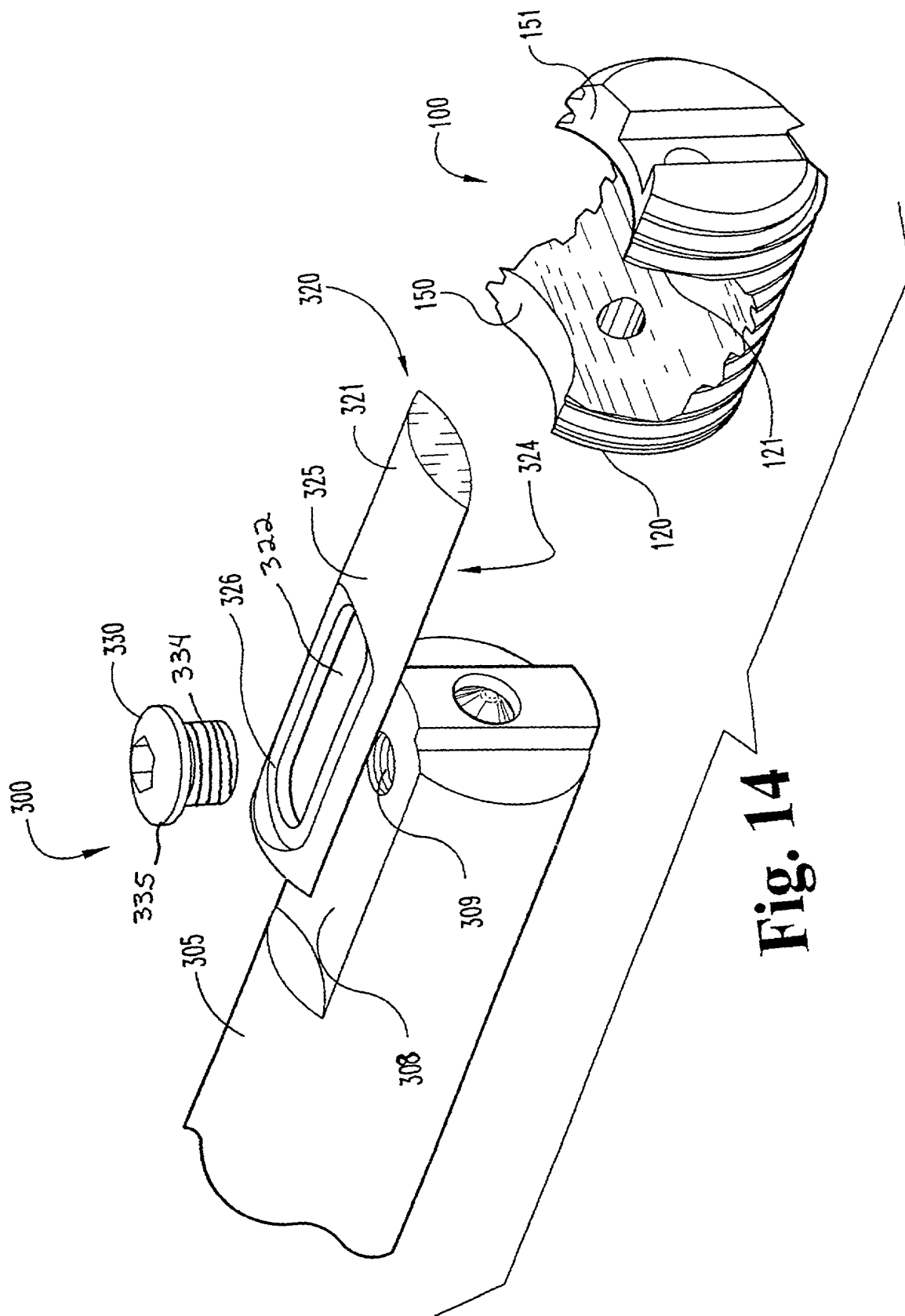


Fig. 14

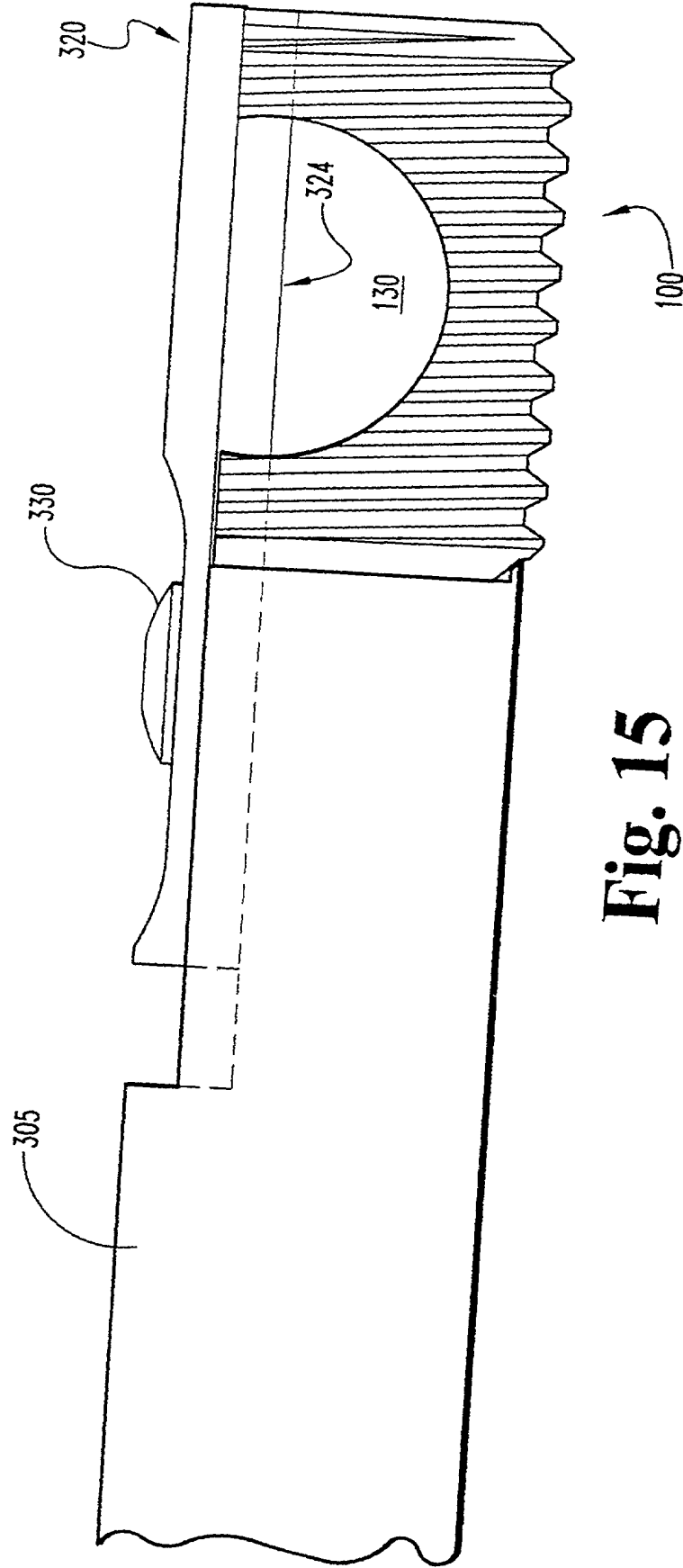


Fig. 15

Please type a plus sign (+) inside this box → ☒

PTO/SB/01 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

☐ Declaration Submitted with Initial Filing **OR** ☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number 4002-2729

First Named Inventor Lawrence M. BOYD

COMPLETE IF KNOWN

Application Number 09 / 869,755

Filing Date July 5, 2001

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

TRUNCATED OPEN INTERVERTEBRAL SPACERS

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 01/11/2000

as United States Application Number or PCT International

Application Number PCT/US00/00590 and was amended on (MM/DD/YYYY) 09/07/2000

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
PCT/US00/00590	US	01/11/2000	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
60/115,388	01/11/1999	

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (10-00)
Approved for use through 10/31/2002 OMB 0651-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

DECLARATION — Utility or Design Patent Application

Direct all correspondence to: ☐ Customer Number or Bar Code Label ☐ OR ☒ Correspondence address below

Name Kenneth A. Gandy @ Woodard, Emhardt, Naughton, Moriarty & McNett

Address Bank One Center/Tower, Suite 3700

Address 111 Monument Circle

City Indianapolis

State IN

ZIP 46204-5137

Country US

Telephone 317-634-3456

Fax 317-637-7561

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR :

☐ A petition has been filed for this unsigned inventor

Given Name
(first and middle [if any]) Lawrence M.

Family Name
or Surname Boyd

Inventor's
Signature

Date

Residence: City Durham

State NC

Country US

Citizenship US

Mailing Address 25 Birnham Lane

Mailing Address

City Durham

State NC

ZIP 27707

Country US

NAME OF SECOND INVENTOR:

☒ A petition has been filed for this unsigned inventor

Given Name
(first and middle [if any]) J. Kenneth

Family Name
or Surname Burkus

Inventor's
Signature

Date

Residence: City Columbus

State GA

Country US

Citizenship US

Mailing Address 7162 Williams Hill Road

Mailing Address

City Columbus

State GA

ZIP 31904

Country US

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

Please type a plus sign (+) inside this box →



PTO/SB/02A (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

DECLARATION

ADDITIONAL INVENTOR(S)

Supplemental Sheet

Page 3 of 4

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Family Name or Surname

John D.

Dorchak

Inventor's
Signature

Date 10/17/01

Residence: City Midland

State GA

Country US

Citizenship US

Mailing Address P.O. Box 400

Mailing Address

City Midland

State GA

ZIP 31820

Country US

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Family Name or Surname

Bradley T.

Estes

Inventor's
Signature

Date

Residence: City Durham

State NC

Country US

Citizenship US

Mailing Address 4712 American Drive

Mailing Address

City Durham

State NC

ZIP 27705

Country US

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Family Name or Surname

Eddie F.

Ray, III

Inventor's
Signature

Date

Residence: City Collierville

State TN

Country US

Citizenship US

Mailing Address 1781 Fernhall Cove

Mailing Address

City Collierville

State TN

ZIP 38017

Country US

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

DECLARATION**Registered Practitioner Information
(Supplemental Sheet)**

Name	Registration Number	Name	Registration Number
Harold R. Woodard	16,214	David A. Warmbold	30,897
C. David Emhardt	18,483	Cary R. Reeves	35,334
Joseph A. Naughton, Jr.	19,814	James J. Bindseil	42,326
John V. Moriarty	26,207		
John C. McNett	25,533		
Thomas Q. Henry	28,309		
James M. Durlacher	28,840		
Charles R. Reeves	28,750		
Vincent O. Wagner	29,596		
Steve Zlatos	30,123		
Spiro Bereveskos	30,821		
William F. Bahret	31,087		
Clifford W. Browning	32,201		
R. Randall Frisk	32,221		
Daniel J. Lueders	32,581		
Kenneth A. Gandy	33,386		
Timothy N. Thomas	35,714		
Kurt N. Jones	37,996		
John H. Allie	39,088		
Holiday W. Banta	40,311		
Troy J. Cole	35,102		
Scott Paynter	39,797		
Charles J. Meyer	41,996		
Matthew R. Schantz	40,800		
Gregory B. Coy	40,967		
Lisa A. Hiday	40,036		
John V. Daniluck	40,581		
Christopher A. Brown	41,642		
C. John Brannon	44,557		
Arthur J. Usher IV	41,359		
Douglas A. Collier	43,556		
Brad A. Schepers	45,431		
Scott J. Stevens	29,446		
James B. Myers	42,021		
John M. Bradshaw	46,573		
Charles P. Schmal	45,082		
Edward E. Sowers	36,015		
Quentin G. Cantrell	47,469		

46

Please type a plus sign (+) inside this box → ☐

PTO/SB/02A (11-00)
Approved for use through 10/31/2002 OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 3 of 4

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Family Name or Surname

John D.

Dorchak

Inventor's
Signature

Date

Residence: City Midland

State GA

Country US

Citizenship US

Mailing Address P.O. Box 400

Mailing Address

City Midland

State GA

ZIP 31820

Country US

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Family Name or Surname

Bradley T.

Estes

Inventor's
Signature

Date 25 OCT 2001

Residence: City Durham

State NC

Country US

Citizenship US

Mailing Address 4712 American Drive

Mailing Address

City Durham

State NC

ZIP 27705

Country US

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Family Name or Surname

Eddie F.

Ray, III

Inventor's
Signature

Date

Residence: City Collierville

State TN

Country US

Citizenship US

Mailing Address 1781 Fernhall Cove

Mailing Address

City Collierville

State TN

ZIP 38017

Country US

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (10-00)
Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION — Utility or Design Patent Application

Direct all correspondence to: ☐ Customer Number or Bar Code Label OR ☒ Correspondence address below

Name Kenneth A. Gandy @ Woodard, Emhardt, Naughton, Moriarty & McNett

Address Bank One Center/Tower, Suite 3700

Address 111 Monument Circle

City Indianapolis State IN ZIP 46204-5137

Country US Telephone 317-634-3456 Fax 317-637-7561

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR: ☐ A petition has been filed for this unsigned inventor

Given Name
(first and middle [if any]) Lawrence M.

Family Name
or Surname Boyd

Inventor's
Signature

Date 10-22-01

Residence: City Durham State NC Country US Citizenship US

Mailing Address 25 Birnham Lane

Mailing Address

City Durham State NC ZIP 27707 Country US

NAME OF SECOND INVENTOR: ☒ A petition has been filed for this unsigned inventor

Given Name
(first and middle [if any]) J. Kenneth

Family Name
or Surname Burkus

Inventor's
Signature

Date

Residence: City Columbus State GA Country US Citizenship US

Mailing Address 7162 Williams Hill Road

Mailing Address

City Columbus State GA ZIP 31904 Country US

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

Please type a plus sign (+) inside this box → +

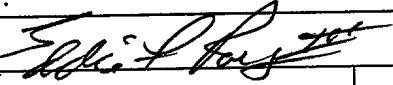
PTO/SB/02A (11-00)
Approved for use through 10/31/2002 OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 3 of 4

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
John D.		Dorchak	
Inventor's Signature		Date	
Residence: City Midland	State GA	Country US	Citizenship US
Mailing Address P.O. Box 400			
Mailing Address			
City Midland	State GA	ZIP 31820	Country US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Bradley T.		Estes	
Inventor's Signature		Date	
Residence: City Durham	State NC	Country US	Citizenship US
Mailing Address 4712 American Drive			
Mailing Address			
City Durham	State NC	ZIP 27705	Country US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Eddie F.		Ray, III	
Inventor's Signature 		Date 17, Oct. 01	
Residence: City Collierville	State TN	Country US	Citizenship US
Mailing Address 1781 Fernhall Cove			
Mailing Address			
City Collierville	State TN	ZIP 38017	Country US

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.